AP3 Rec'd PUT/PTU 31 MAY 2006

PTO-1390 (Rev. 07-2005)

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| | ANSMITTAL LETTER TO | ATTORNEY'S DOCKET NUMBER 70314/UST | | | | | |
|--|--|---|--|--|--|--|--|
| DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371 | | | U.S. APPLICATION NO (linknown Tee 67 CFR 1.5) | | | | |
| _ | TIONAL APPLICATION NO. | INTERNATIONAL FILING DATE | PRIORITY DATE CLAIMED | | | | |
| TITLE OF | CT/IB2004/004083 INVENTION | DECEMBER 9, 2004 ■ | DECEMBER 12, 2003 | | | | |
| | SPIROPIPERIDINE DERIVATIVES FOR CONTROLLING PESTS APPLICANT(S) FOR DO/EO/US | | | | | | |
| MOLLEY | RES, ET AL | | | | | | |
| l — | | | O/US) the following items and other information: | | | | |
| | This is a FIRST submission of items co | encerning a submission under 35 U.S.C. 371 | l. 1 | | | | |
| 2. L | This is a SECOND or SUBSEQUENT s | submission of items concerning a submission | n under 35 U.S.C. 371. | | | | |
| | 3. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. | | | | | | |
| 4. 🔽 | The US has been elected (Article 31). | | | | | | |
| 5. 🗸 | A copy of the International Application | n as filed (35 U.S.C. 371(c)(2)) | | | | | |
| | a. is attached hereto (required | d only if not communicated by the Internation | nal Bureau). | | | | |
| | b. has been communicated by the International Bureau. | | | | | | |
| l _ | c. is not required, as the application was filed in the United States Receiving Office (RO/US). | | | | | | |
| 6. | An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). | | | | | | |
| | a. is attached hereto. | | | | | | |
| | b. has been previously submitted under 35 U.S.C. 154(d)(4). | | | | | | |
| 7. | Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) | | | | | | |
| | a. are attached hereto (required only if not communicated by the International Bureau). | | | | | | |
| | b. have been communicated by the International Bureau. | | | | | | |
| | c. have not been made; however, the time limit for making such amendments has NOT expired. | | | | | | |
| | d. 🗹 have not been made and will not be made. | | | | | | |
| 8. LJ | An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). | | | | | | |
| 9. 🗀 | An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). | | | | | | |
| 10. An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). | | | | | | | |
| <u> </u> | 11 to 20 below concern document(s | s) or information included: | | | | | |
| 11. | An Information Disclosure Statement under 37 CFR 1.97 and 1.98. | | | | | | |
| 12. | An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. | | | | | | |
| 13. | A preliminary amendment. | | | | | | |
| 14. | An Application Data Sheet under 37 CFR 1.76. | | | | | | |
| 15. | A substitute specification. | | | | | | |
| 16. | A power of attorney and/or change of address letter. | | | | | | |
| 17. | A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821- 1.825. | | | | | | |
| 18. | A second copy of the published Inten | national Application under 35 U.S.C. 154(d) | (4). | | | | |
| 19. 🗀 | A second copy of the English language | ge translation of the international application | n under 35 U.S.C. 154(d)(4). | | | | |

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450. Page 1 of 3

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| U.S. APPLICATION NO. (if known, see 37 CFR 1.5) INTERNATIONAL AF | | | | | | | | |
|---|---|--------------------------------------|---|-----------------|------------------------|--|--|--|
| 4 0 1 E 0 1 1 7 L PCT/IB2004/004083 | | | 004083 | 70314/UST | | | | |
| 20. Other items or information: | | | | | | | | |
| Retu | Return postcard and credit card payment form | | | | | | | |
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| | owing fees have b | | | - | CALCULATIONS | PTO USE ONLY | | |
| 21. 🗸 Basi | c national fee (37 | CFR 1.492(a)) | | \$300 | \$ 300.00 | | | |
| 22. 🔽 Exam | nination fee (37 CF | FR 1.492(c)) | | | | | | |
| | | | ational preliminary examinati ions of PCT Article 33(1)-(4). | | \$ | | | |
| | | | | | 200.00 | | | |
| | rch fee (37 CFR 1. | | | | | | | |
| | | | I preliminary examination reps of PCT Article 33(1)-(4) | | | | | |
| Search fee (37 C | FR 1.445(a)(2)) ha | as been paid on the | e international application to t | the USPTO as an | \$ | | | |
| | | | than the US and provided to | | | | | |
| previously | communicated to | the US by the IB. | | \$400 | 400.00 | | | |
| All other situation | IS | | | \$500 | 400.00 | | | |
| | TOTAL OF 21, 22 e for specification | | in paper over 100 sheets (ex | cluding | 900.00 | | | |
| ☐ sequence | e listing in complia | ance with 37 CFR 1 | .821(c) or (e) or computer pr | | | | | |
| | c medium) (37 CF s \$250 for each ad | | of paper or fraction thereof. | | | | | |
| Total Sheets | Extra Sheets | Number of each a | dditional 50 or fraction | RATE | | | | |
| | | thereof (round up to a whole number) | | | _ | | | |
| - 100 = | /50 = | L | | x \$250 | \$ - | <u> </u> | | |
| Surcharge of \$130.00 for furnishing any of the search fee, examination fee, or the oath or declaration after the date of commencement of the national stage (37 CFR 1.492(h)). | | | | | \$_ | | | |
| CLAIMS | NUMB | BER FILED | NUMBER EXTRA | RATE | \$ | | | |
| Total claims | 12 | - 20 = | | × \$ 50 | \$ | | | |
| Independent clair | ms 3 | - 3 = | | × \$200 | \$ | | | |
| MULTIPLE DEPI | ENDENT CLAIM(S | S) (if applicable) | | + \$360 | \$ | | | |
| TOTAL OF ABOVE CALCULATIONS = | | | | | \$ O | | | |
| Applicant cla | ims small entity st | | | | | | | |
| | | \$ 900.00 | | | | | | |
| - | f \$130.00 for fumi: late (37 CFR 1.49) | \$ | | | | | | |
| | | \$ 900.00 | ,,,,, - p- | | | | | |
| Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property + | | | | | \$ | | | |
| by an appropriate | | \$ 900.00 | <u> </u> | | | | | |
| | | | | EES ENCLOSED = | Amount to be | \$ | | |
| | | | | | refunded: Amount to be | | | |
| | | | | | charged | \$ | | |

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| а. 🗌 | A check in the amount of \$ | to cover the above fees | is enclosed. | | | |
| ь. 🗖 | Please charge my Deposit Account NoA duplicate copy of this sheet is enclosed. | in the amount of \$ | to cover the above fees. | | | |
| c. 🗸 | The Commissioner is hereby authorized to charg Account No. 50-1676 . A duplicate copy of the commission of the commissio | | y be required, or credit any overpayment to Deposit | | | |
| d. 🗸 | Fees are to be charged to a credit card. WARNIN be included on this form. Provide credit card in | | ay become public. Credit card information should not PTO-2038. | | | |
| NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status. | | | | | | |
| SEND ALL CORRESPONDENCE TO: Customer No. 26748 Syngenta Crop Protection, Inc. 410 Swing Road Greensboro, NC 27409 | | ′ R | Signature sebecca A. Gegick NAME 1,724 REGISTRATION NUMBER | | | |